

FORM No. MGT-9
EXTRACT OF ANNUAL RETURN
For the year ended 31.03.2020
[Pursuant to Section 92(3) of the Companies Act, 2013 and
Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i)	CIN:	L74899DL1993PLC054781
ii)	Registration Date	10.08.1993
iii)	Name of the Company:	Rathi Bars Limited
iv)	Category/ Sub-Category of the Company:	Public Limited Company having share Capital
v)	Address of Registered Office:	A-24/7, Mohan Co-Operative Industrial Estate, Mathura Road, New Delhi- 110044
vi)	Contact Details:	011-4316 5400
vii)	Whether listed Company or Not	Yes
viii)	Name, Address and Contact details of Registrar and Transfer Agent, if any:	MAS Services Limited T-34, Second Floor, Okhla Industrial Area, Phase II, New Delhi-110020 Ph: 011-2638 7281-83

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated

S. No.	Name and Description of main products/services	NIC Code of the Product/service	% to total turnover of the Company
1	Manufacture & Trading of Steel Bars, Ingots/Billets	241	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: N.A.

[No. of Companies for which information is being filled]

S. No.	Name and Address of the Company	CIN/GLN	Holding/Subsidiary / Associate	% of Shares Held	Applicable Section
1	--	--	--	--	--

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	52,69,410	0	52,69,410	32.27	52,69,410	0	52,69,410	32.27	-
b) Central Govt.	0	0	0	0	0	0	0	0	-
c) State Govt.	0	0	0	0	0	0	0	0	-
d) Bodies Corp.	51,83,702	0	51,83,702	31.74	51,83,702	0	51,83,702	31.74	-
e) Banks / FI	0	0	0	0	0	0	0	0	-
f) Any other	0	0	0	0	0	0	0	0	-
Sub-total (A) (1):-	1,04,53,112	0	1,04,53,112	64.01	1,04,53,112	0	1,04,53,112	64.01	-
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	-
b) Other - Individuals	0	0	0	0	0	0	0	0	-
c) Bodies Corp.	0	0	0	0	0	0	0	0	-
d) Banks / FI	0	0	0	0	0	0	0	0	-
e) Any Other	0	0	0	0	0	0	0	0	-
Sub-total (A) (2):-	0	0	0	0	0	0	0	0	-
Total share-holding of Promoter (A)= (A)(1)+ (A)(2)	1,04,53,112	0	1,04,53,112	64.01	1,04,53,112	0	1,04,53,112	64.01	-

B. Public Shareholding									
1. Institutions	-	-	-	-	-	-	-	-	-
a) Mutual Funds	0	0	0	0	0	0	0	0	-
b) Banks / FI	0	0	0	0	223	0	223	0.00	0.00
c) Central Govt	0	0	0	0	0	0	0	0	-
d) State Govt(s)	0	0	0	0	0	0	0	0	-
e) Venture Capital Funds	0	0	0	0	0	0	0	0	-
f) Insurance Companies	0	0	0	0	0	0	0	0	-
g) FIIs	0	0	0	0	0	0	0	0	-
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	-
i) Others (specify)	0	0	0	0	0	0	0	0	-
Sub-total (B)(1):-	0	0	0	0	0	0	0	0	-
2. Non-Institutions									
a) Bodies Corp.	1,44,868	0	1,44,868	0.89	1,13,214	0	1,13,214	0.69	-0.20
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 2 Lakh	39,66,843	538	39,67,381	24.29	38,35,041	38	38,35,003	23.48	-0.81
i) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakh	14,71,222	1,06,500	15,77,722	9.66	17,74,137	1,06,500	16,67,637	10.86	1.20

c) Others (specify)									
(c-i) NRI/OCB	1,52,821	0	1,52,821	0.94	1,38,342	0	1,38,342	0.50	-0.44
(c-ii)-clearing member	34,453	0	34,453	0.21	16,288	0	16,288	0.10	-0.11
Sub-total (B)(2):-	57,70,207	1,07,038	58,77,245	35.99	57,70,207	1,06,538	58,77,245	35.99	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	57,70,207	1,07,038	58,77,245	35.99	57,70,207	1,06,538	58,77,245	35.99	-
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	-
Grand Total (A+B+C)	1,62,23,319	1,07,038	1,63,30,357	100.00	1,62,23,319	1,06,538	1,63,30,357	100.00	-

ii) Shareholding of Promoter's (Including Promoter Group) Shareholding -

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Kamlesh K Rathi	8,18,387	5.01	-	8,18,387	5.01	-	-
2	Anurag Rathi	12,16,751	7.45	-	12,16,751	7.45	-	-
3	Parnika Rathi	9,88,109	6.05	-	9,88,109	6.05	-	-
4	Uddhav Rathi	8,59,330	5.26	-	8,59,330	5.26	-	-
5	Anurag Rathi (HUF)	5,07,500	3.11	-	5,07,500	3.11	-	-
6	Pushpa Rathi	7,68,519	4.71	--	7,68,519	4.71	-	-
7	Nandita Rathi	77,269	0.47	-	77,269	0.47	-	-
8	Advika Rathi	16,773	0.10	-	16,773	0.10	-	-
9	Devanshi Rathi	16,772	0.10	-	16,772	0.10	-	-
10	Bhuwneshwari Leasing and Finance Private Limited	2,75,625	1.69	-	2,75,625	1.69	-	-

11	Eleventh Hour Leasing And Finance Pvt Limited	3,71,875	2.28	-	3,71,875	2.28	-	-
12	Maryada Holding Private Limited	1,71,675	1.05	-	1,71,675	1.05	-	--
13	Parnika Investments Private Limited	6,69,375	4.10	-	6,69,375	4.10	-	-
14	Pranika Leasing And Finance Private Limited	2,64,250	1.62	-	2,64,250	1.62	-	-
15	Rathi Overseas Private Limited	6,56,250	4.02	-	6,56,250	4.02	-	-
16	Vasudev Exports Private Limited	5,10,475	3.13	-	5,10,475	3.13	-	-
17	Sarveshwar Cereals P Ltd	6,57,825	4.03	-	6,57,825	4.03	-	-
18	Amrit Krishi Farms Pvt. Ltd	4,63,250	2.84	-	4,63,250	2.84	-	-
19	Rathi Breweries Private Limited	4,32,445	2.65	-	4,32,445	2.65	-	-
20	Uddhav Leasing & Finance P Ltd	2,77,375	1.70	-	2,77,375	1.70	-	-
21	Uddhav Investment P Ltd	2,16,125	1.32	-	2,16,125	1.32	-	-
22	Pushpkamal Enterprises P Ltd	2,17,157	1.33	-	2,17,157	1.33	-	-
		1,04,53,111	64.01		1,04,53,112	64.01		

iii) Change in Promoters' (Including Promoter Group) Shareholding

S. No	Name of Share holders	Shareholding at the beginning of the year		Cumulative Share -holding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Kamlesh Kumar Rathi				
	At the beginning of the year	8,18,387	5.01		
	Increase in Shareholding during the year	0			
	At the end of the year	8,18,387	5.01	8,18,387	5.01
2	Anurag Rathi				
	At the beginning of the year	12,16,751	7.45		
	Increase in Shareholding during the year	0			
	At the end of the year	12,16,751	7.45	12,16,751	7.45
3	Parnika Rathi				

	At the beginning of the year	9,88,109	6.05		
	Increase in Shareholding during the year	0			
	At the end of the year	9,88,109	6.05	9,88,109	6.05
4	Uddhav Rathi				
	At the beginning of the year	8,59,330	5.26		
	Increase in Shareholding during the year	0			
	At the end of the year	8,59,330	5.26	8,59,330	5.26
5	Pushpa Rathi				
	At the beginning of the year	7,68,519	4.71		
	Increase in Shareholding during the year	0			
	At the end of the year	7,68,519	4.71	7,68,519	4.71
6	Nandita Rathi				
	At the beginning of the year	77,269	0.47		
	Increase in Shareholding during the year	0			
	At the end of the year	77,269	0.47	77,269	0.47

iv) Shareholding Pattern of Top10 Shareholders (OTHER THAN Directors, Promoters and Holders of GDRs and ADRs)

S. No		Date	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Dheeraj Kumar Lohia At the beginning of the year		2,48,200	1.52		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):		NIL	-	-	-
	At the end of the year		2,48,200	1.52	2,48,200	1.52
2.	Mahendra Girdharilal At the beginning of the year		2,09,782	1.285		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	23.08.2019 30.08.2019 06.09.2019 08.11.2019 03.01.2020 10.01.2020 17.01.2020	1,680 5,180 380 6,857 2,117 615 600		2,11,462 2,16,642 2,17,022 2,23,879 2,25,996 2,26,611 2,27,211	
	At the end of the year		2,27,211		2,27,211	
3.	Raj Kumar Lohia At the beginning of the year		1,36,782	0.838	1,36,782	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase		NIL	NIL		

	/decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer					
	At the end of the year		1,36,782	0.838	1,36,782	0.838
4.	Jhanwar Lal Dhariwal At the beginning of the year		1,11,583	0.683	1,11,583	0.303
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer		NIL	NIL		
	At the end of the year		1,11,583	0.683	1,11,583	0.303
5.	V L Narasimhan At the beginning of the year		1,06,500	0.652		
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer		NIL	NIL		
	At the end of the year		1,06,500	0.652	1,06,500	0.652
6.	Renu At the beginning of the year		8704		8704	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer	10.05.2019	89		8,793	
		17.05.2019	2,399		11,192	
		07.06.2019	1,932		13,124	
		05.07.2019	122		13,246	
		12.07.2019	467		13,713	
		19.07.2019	1,261		14,974	
		02.08.2019	963		15,937	
		09.08.2019	1,000		16,937	
		23.08.2019	2,972		19,909	
		30.08.2019	6,000		25,909	
		06.09.2019	1,801		27,710	
		13.09.2019	9		27,719	
		20.09.2019	3,506		31,225	
		23.09.2019	1,000		32,225	
		27.09.2019	810		33,035	
		30.09.2019	322		33,357	
		04.10.2019	600		33,957	
		11.10.2019	2,351		36,308	
		19.10.2019	1,428		37,736	
		25.10.2019	103		37,839	
		06.12.2019	4,308		42,147	
		20.12.2019	1,402		43,549	
		31.12.2019	250		43,799	
		17.01.2020	2,224		46,023	
		07.02.2020	6,032		52,055	
		14.02.2020	6,588		58,643	
		21.02.2020	2,276		60,919	
		28.02.2020	5,012		65,931	
		06.03.2020	7,938		73,869	
		13.03.2020	3,028		76,897	
		20.03.2020	5,619		82,516	
		27.03.2020	11,240		93,756	
		31.03.2020	3,455		97,211	

	At the end of the year		97,211		97,211	
7.	Ram Kumar Gupta At the beginning of the year		89,000	0.54	NIL	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer	NIL	NIL	NIL	NIL	
	At the end of the year		89,000	0.54	89,000	0.54
8.	Narpat Singh At the beginning of the year		55,331	0.40	55,331	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer	17.05.2019 28.06.2019 27.09.2019 30.09.2019 04.10.2019 15.11.2019 22.11.2019 29.11.2019 24.01.2020 31.03.2020	3,363 1,994 3,000 1,457 1,000 4,000 2,000 2,000 4,000 923		58,694 60,688 63,688 65,145 66,145 70,145 72,145 74,145 78,145 79,068	
	At the end of the year		79,068		79,068	
9.	Himmat Singh At the beginning of the year		74,295	0.455	74,295	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer		NIL	NIL	NIL	
	At the end of the year		74,295	0.455	74,295	0.045
10.	Padma Rajawat At the beginning of the year		39,740		39,740	
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):Transfer	28.06.2019 13.09.2019 20.09.2019 08.11.2019 31.03.2020	5,000 1,500 8,352 5,237 800		44,740 46,240 54,592 59,829 60,629	
	At the end of the year		60,629		60,629	

i) Shareholding of Directors and Key Managerial Personnel:

S.N.	Shareholding of each Director and Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Share -holding during the year	
		No. of shares	% of total shares of the co.	No. of shares	% of total shares of the co
1.	Kamlesh Kumar Rathi At the beginning of the year	8,18,387	5.01		
	Change in Shareholding during the	-	-	-	-

	year (various times):				
	At the end of the year	8,18,387	5.01	8,18,387	5.01
2.	Anurag Rathi At the beginning of the year	12,16,751	7.45		
	Change in Shareholding during the year (various times):	-	-	-	-
	At the end of the year	12,16,751	7.45	12,16,751	7.45
3.	Uddhav Rathi At the beginning of the year	8,59,330	5.26	-	-
	Date wise Increase in Shareholding during the year specifying the reasons for increase:	-	-	-	-
	At the end of the year	8,59,330	5.26	8,59,330	5.26

V) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the Financial year				
i) Principal Amount	28,72,95,903	-	-	28,72,95,903
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	28,72,95,903	-	-	28,72,95,903
Change in Indebtedness during the Financial year				
* Addition	12,13,04,754	-	-	12,13,04,754
* Reduction			-	
Net Change	12,13,04,754		-	12,13,04,754
Indebtedness at the end of the Financial Year				
i) Principal Amount	40,86,00,657	-	-	40,86,00,657
ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	
Total (i+ii+iii)	40,86,00,657	-	-	40,86,00,657

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:
(In lakhs)

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager				Total Amount
		Kamlesh Kumar Rathi (MD)	Anurag Rathi (WTD)			
1	Gross salary	-	-	-	-	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961.	-	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961.	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961.	-	-	-	-	-
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission - as % of profit - others, specify	-	-	-	-	-
5	Others, please specify	-	-	-	-	-
	Total (A)	-	-	-	-	-
	Ceiling as per the Act	-	-	-	-	-

B. Remuneration to other Directors:

SN.	Particulars of Remuneration	Name of Directors				Total (Rs.)
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1	Independent Directors					
	Fee for attending board committee meetings					
	Commission					
	Others, please specify					
	Total (1)					
2	Other Non-Executive Directors					
	Fee for attending board committee meetings					
	Commission					
	Others(Working as Asst Mgr-HRD)					
	Total (2)					
	Total (B)=(1+2) Total Managerial Remuneration					
	Overall Ceiling as per the Act					

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

SN	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1	Gross salary	-	3.32	-	3.32
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	Others, specify...	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	-	3.32	-	3.32

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

There were no penalties/punishments/compounding of offences for the year ended March 31, 2020.

By order of the Board of Directors
FOR RATHI BARS LIMITED

Date: 04.09.2020
Place: New Delhi

Sd/-
Kamlesh Kumar Rathi
(Managing Director)
DIN:00112321

Sd/-
Anurag Rathi
(WTD and CFO)
DIN:00063345